

IRSES Periodic Report

1. General Progress of the project

b) has achieved most of its objectives and technical goals with minor deviations

Description of the modification

Overall the management arrangements and the programme of work packages, visits and activities are working very well. There are three minor issues:

- Work package 1 working paper was delayed, but is now completed, surpasses expectations and is published on the website.
- Some of the visits from AU to UoA have been rescheduled (due to pregnancy preventing travel; diagnosis of a serious illness on arrival curtailing a visit; and staff moves). These visits have been rescheduled successfully with only one impact on the programme (below).
- Due to rescheduling one of the secondments, WP2's summative working paper is delayed. In the mid-term report we requested moving the end date of WP2 forward to April 2012. No other activities or milestones were affected.

Qualitative indicators of progress and success (description of progress towards milestones and deliverables) **Attach documents**

Milestones and Deliverables WP1 Jan-Dec 2010					
WP1 coordinator Robertson (UNIVBRIS)					
Milestone n°	Milestone name	WPs n°s.	Lead Beneficiary/ Partner organisation short name	Delivery date	Description
1.1	Detailed work plan	1	UNIVBRIS/UoA AU/UoA	Mar 2010	Work plan achieved on time (see Work Package 1 document attached)
1.2	Workshop	1	UNIVBRIS/UoA AU/UoA	Dec 2010	4-day workshops and conference held Nov 2010 (see Work Package 1 document attached)
1.3	Working Paper	1	UNIVBRIS/UoA AU/UoA	June 2011	Working Paper completed Feb 2012 on website (and attached)

Deliverables

D1.1 Initial seminar at Auckland

Public Symposium 'Globalisation and higher education: towards a new conceptual framework' held on 14 May 2010, 14.30-17.00. Attended by 34 people from the Faculties of Science, Education, and Arts, Business School, European Institute, and Centre for Academic Development across 3 NZ universities (See announcement, overheads and attendance list attached).

D1.2 Presentation of work on the above 4 tasks for critical discussion at the project's end of year workshop in Bristol.

4-Day Workshop and Conference 'Globalisation and higher education: towards a new conceptual framework' held on 29 Nov-3 Dec 2010 (see Work Package 1 attached). Attended by 17 participants: 8 from 3 UK universities, 4 from NZ, 5 from DK and 1 from Brazil.

D1.3 Scientific working paper on 'Conceptual framework: globalisation, regionalisation and higher education' setting out the new theoretical model and preliminary findings.

Four presentations to the Bristol workshop provided a basis for this working paper. The writing was a major task and the result provides an excellent foundation for the other work packages, as planned. It surpasses expectations in mapping changes to the university sector and generating a new conceptual approach to processes of globalisation and regionalisation (See attached Work Package 1 working paper).

D1.4 Use of this work to develop the conceptual framework for a joint research project based on lasting collaboration with the third country partner.

Two sessions (at Bristol on 30 Nov 2010 and at Copenhagen on 17 Feb 2011) have focused on how to translate the theoretical and conceptual approaches developed in WP1 into methodological developments in WP2, as a basis for future collaborative research between the partners

Milestones and Deliverables WP2 March 2010-April 2012
WP2 coordinator Shore (UoA)

Milestone n°	Milestone name	WPs n°s.	Lead Beneficiary/ Partner organisation short name	Delivery date	Achievement
2.1	Detailed work plan	2	AU/UoA UNIVBRIS/UoA	May 2010	Achieved on schedule. (See Work Package 2 document attached) Some changes to visits to accommodate participants' work commitments.
21.2	Workshop	2	AU/UoA UNIVBRIS/UoA	June 2011	Held at AU, Copenhagen 8-10 June (See Work Package 2 document attached)
2.3	Working Paper	2	AU/UoA UNIVBRIS/UoA	Oct 2011*	Request to extend this to April 2012 – see below

***Request (above) to extend the end date to April 2012.**

Deliverables

Additional deliverables (see Work Package 2 document attached)

- Research workshop (at Bristol on 30 Nov 2010 attended by 15 people) scoped the key issues and problems to be addressed in WP2.
- Meeting to plan contributions to WP2 (at Bristol 30 Nov 2010 attended by 8 people).
- Individual meetings by Cris Shore during his visit to Bristol with Susan Robertson, Peter Jones and Lisa Lucas to share knowledge on framing and implementing WP2's research agenda
- Research workshop 'Methodological strategies for the new conceptual framework' during Cris Shore's visit to AU, at Copenhagen on 17 Feb 2011 attended by 5 Danish participants of the URGE programme and one participant from the University of Stockholm.
- Working paper 'Researching Academic Agency in the Cultural Production of Indigenous Ideology in New Zealand Universities' written by Elizabeth Rata as a product of her secondment to Bristol. (See working paper attached)

D 2.1 Scientific working paper on ethnographies of university reform.

Draft presented to end of year workshop, building on the results of WP1. The request to extend the deadline to April 2012 was initially to accommodate work schedules. This timing

now has the added advantage that the working paper can develop methodologies for the new conceptual framework set out in the working paper from WP1.

D 2.2 Presentation of results to end of year seminar and workshop.

3-Day Research Seminar ‘Methodologies: Combining Ethnography and Political Economy’ held on 8-10 June 2011 at AU, Copenhagen (see Work Package 2 document attached). Attended by 23 participants: 4 from UK, 4 from NZ, 15 from DK (including international graduate students from Canada, Portugal, Iran).

D 2.3 Development of methodology combining ethnography with political economy for a joint research project based on lasting collaboration between the European and third country partners.

Research grant application by Prof C Shore and Dr N. Lewis arising out of this knowledge exchange, submitted to the Royal Society of New Zealand (Marsden Fund) Jan 2011 and revised and resubmitted in January 2012.

Milestones and Deliverables WP3 Aug 2010-Mar 2012					
WP3 coordinator Dale (UNIVBRIS)					
Milestone n°	Milestone name	WPs n°s.	Lead Beneficiary/ Partner organisation short name	Delivery date	Achievement
3.1	Detailed work plan	3	UNIVBRIS/UoA AU/UoA	Sept 2010	Achieved on schedule (See Work Package 3 document attached)
3.2	Workshop	3	UNIVBRIS/UoA AU/UoA	Nov 2011	Held at UoA, with video link to AU, 1-2- Dec (See Work Package 3 document attached)
3.3	Working Paper	3	UNIVBRIS/UoA AU/UoA	Mar 2012	On schedule

3.4	Mid-term review	3	UNIVBRIS/UoA AU/UoA	Dec 2011	Mid-term review to assess academic progress and report on the research/ international officers' work re long-term collaboration held at the workshop at AU in June 2011
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Deliverables

Additional deliverables

- Workshop on issues and plans for WP3 held at Bristol on Wed 1st Dec 10.00-12.00 attended by 14 participants.
- Lecture by Ole Henckel 'Is free education under attack from the EU? The case of Scandinavia' given at UoA during his secondment (see power point slides in work package 3 document attached).

D 3.1 Scientific working paper on European 'coordination' and globalisation

Two working papers entitled 'University: the European Particularity' and 'Academic Autonomy and the Bologna Process' written by Stavros Moutsios (AU) as a product of his secondment to UoA, and his interaction there with the UoA URGE group and with Roger Dale and Susan Robertson (UNIVBRIS), whose secondments were coincident. (See working paper attached).

The final working paper on this work package is due end of March 2012.

D 3.2 Presentation of results to programme workshop

2-Day Symposium: 'Globalisation, Higher Education and the Knowledge Economy: Refiguring Subjects and Spaces in the Neoliberal University' was held on 1-2 December 2011 at UoA (see Work Package 3 document attached). Attended by 25 participants: 1 from UK and 24 from an extensive range of departments at UoA, NZ. In addition 2 participated by video link (including one paper giver) from Denmark.

D 3.3 Joint publications

A special issue of the journal *Geography Education and Society* is planned

D 3.4 Explore the development of a common module between DPU and Auckland as part of an application for a second generation Erasmus Mundus programme in Lifelong Learning

During the visit of Ole Henckel, European Development Officer AU, to UoA plans were discussed for establishing an Erasmus Mundus in Educational Anthropology. Lynette Read, Research Advisor, Faculty of Arts, UoA achieved high level approval at UoA for the idea

and, during her visit to AU, met, and gained a positive response from, AU Prodeans for Arts. (See report of her visit in attached management document). Susan Wright AU researched potential partners and circulated an outline plan in October 2011. The academic and administrative reorganisation of AU has delayed approval for the academics to proceed with the application.

D 3.5 Development of joint research applications to put the programme's collaboration on a long term footing

The mid-way review, held during URGE Annual Assembly at AU, Copenhagen on 10 June (see report of Annual Assembly in Management document attached) identified the following joint research and teaching developments, with the aim of establishing long term collaboration between the partners:

- Initial Training Network. The work on WPs 1-3 has identified that stronger comparisons need to be made between the role of higher education in European regionalisation projects and in a range of regionalisation and globalisation strategies in the Asia-Pacific Rim. URGE's work on knowledge exchange and on a new conceptual framework and associated methodologies also provides an ideal basis for further development through a coordinated programme of PhD training. A successful EUopSTART application in Denmark provided funding to develop the ITN application which consolidates collaboration between the existing partners, adds 4 new European partners and 16 associated partners all around the Asia-Pacific Rim, along with 5 industrial partners and 5 socio-economic actors. The ITN application was submitted on 12 January 2012.
- Third mission. URGE's knowledge exchange identified a common interest between the three partners in the ways that universities and academics are engaging with their surrounding society. Discussions point to the possibility of theorising new forms of academic entrepreneurialism. Susan Robertson (UNIVBRIS) has an existing project on universities in the Bristol region and has shared her questionnaire and preliminary results. Cris Shore and Nick Lewis (UoA) submitted an application to the Marsden fund in January 2011 (unsuccessful) which was subsequently revised and resubmitted in January 2012. Deivida Vadzinskaite, a visiting PhD student at AU from Lithuania has received ideas and support from both of the above in developing a linked project comparing the role of universities in society in a post soviet country (Lithuania) and a Nordic welfare state (Denmark). Her Marie Curie post doc application gained sufficient marks to warrant resubmission in the next round.
- Academic work and identity. This common interest between the three partners was identified during WP2 and will be brought to the fore in WP5. Dirk Michel Schertges (AU) is developing a comparative project with fieldwork to be conducted in Denmark, during his secondment to New Zealand, and hopefully in a visit to UK. Colleagues from UoA and UNIVBRIS advised on this project and Lisa Lucas (UNIVBRIS) shared her questionnaire.
- Erasmus Mundus in Educational Anthropology, involving AU, UoA and 2 other European partners. See above.

Milestones and Deliverables WP4 Feb 2011-Oct 2012
WP4 coordinator Wright (AU)

Milestone n°	Milestone name	WPs n°'s.	Lead Beneficiary/ Partner organisation short name	Delivery date	Achievement
4.1	Detailed work plan	4	AU/UoA UNIVBRIS/UoA	April 2011	Achieved on schedule (See Work Package 4 document attached)
4.2	Workshop	4	AU/UoA UNIVBRIS/UoA	June 2012	Planned for August 2012, to maximise participation by secondees from UoA
4.3	Working Paper	4	AU/UoA UNIVBRIS/UoA	Oct 2012	On schedule

Deliverables

Additional Deliverables

- Two planning meetings (at AU on 9 and 10 June 2011 attended by 7 people) scoped the key issues and problems to be addressed in WP4.
- Erasmus Staff Exchange by Sheila Trahar (UNIVBRIS) to visit AU 28 May-1 June 2012 to facilitate a collaborative writing project (and thereby teach and demonstrate the methodology) on academic identities in the knowledge economy.

2. Project achievements (attach documents)

Scientific highlights

There are already four major scientific achievements:

- New way of mapping the higher education sector (Prof S Robertson's presentation in Work Package 1)
- New way of analysing the creation of higher education regions, through analysis of metaphors, 'isms', and institutions (Profs S Robertson and R Dale's presentations in Work Package 1)
- New way of conceptualising the higher education sector's role in globalisation and regionalisation, which combines insights from political economy and anthropology (see Work Package 1 working paper).
- New methodological approach combining insights from political economy and anthropology in researching the higher education sector's role in globalisation and regionalisation (Cris Shore's seminar presentation in Work Package 2).

Transfer of knowledge and training activities (workshops)

The following knowledge exchange activities have taken place as part of WP1

- **Workshop:** with doctoral students held by Robertson and Dale (UNIVBRIS) in April 2010 during their visits to UoA.
- **Session** on 'Statehood and regulatory regionalism' run by Robertson, Dale and Moutsios during their visit to New Zealand in April 2010 for the staff-postgraduate 'Reading Group on University Reform, Globalisation and Europeanisation', UoA.
- **PhD course** 'Globalisation, higher education and the knowledge economy' taught by Profs S Robertson and R Dale (UNIVBRIS on an Erasmus exchange to AU) and Prof S Wright (AU). Held on 8-9 Nov 2010, the course was attended by 13 students (See PhD document attached).
- **PhD seminars and supervision** given by Wright, Bovbjerg, Moutsios, Michel and Nielsen (AU) on an Erasmus exchange to UNIVBRIS 29 Nov – 3 Dec 2010.
- **Research workshop** (at Bristol on 30 Nov 2010 attended by 15 people) scoped the key issues and problems to be addressed in WP2 (See work Package 2 document attached)
- **Research Workshop** to scope issues to be addressed by WP3 held at Bristol on Wed 1st Dec 10.00-12.00 attended by 14 participants.
- **Research seminar** on methodology and anthropological knowledge held by Cris Shore at AU on 18 Feb 2011 (attended by 35 faculty and students) (see announcement in attached WP2 document).
- **Research Seminar** 'Methodologies: Combining Ethnography and Political Economy' held on 8-10 June 2011 at AU, Copenhagen. Attended by 23 participants including 7 Erasmus Mundus Masters of Lifelong Learning students.
- **Lecture** 'Entrepreneurial academics and the Third Mission of the university in New Zealand' held by Cris Shore for staff and students at AU on 21 June 2011.

Dissemination of results – conferences, pubs

- **Seminar:** 'Transnational education reform and the "progress of societies"' given by Moutsios (AU) to the Faculty of Education, UoA, on 27 April 2010 (see announcement in attached WP1 document)
- **Seminar:** 'Capitalism, Modernity and the Future of Education in the Social Contract' given by Dale (UNIVBRIS) to the Department of Critical Studies in Education, UoA, on 20 May 2010 (see announcement in attached WP1 document).
- **Seminar:** "Producing" the Global Knowledge Economy: The World Bank, the Knowledge Assessment Methodology and Education' given by Robertson (UNIVBRIS) to the Department of Critical Studies in Education, UoA, on 27 May 2010 (see announcement in attached WP1 document).
- **Public Symposium:** 'Globalisation and higher education: towards a new conceptual framework' held on 14 May 2010, 14.30-17.00. This symposium was featured on the front page of University of Auckland's website and the front page of UoA's fortnightly newspaper for staff. The symposium was attended by 43 people from across 5 faculties and 3 NZ universities (See UoA's front page and attendance list in attached WP2 document).
- **4-Day Conference:** 'Globalisation and higher education: towards a new conceptual framework' held on 29 Nov-3 Dec 2010 at UNIVBRIS. The conference was attended by 17 participants: 8 from 3 UK universities, 4 from NZ, 5 from DK and 1 from Brazil (see Work Package 1 attached).

- **Research seminar:** 'Methodological strategies for the new conceptual framework' organised by Shore (UoA) and hosted by AU's research group EPOKE (Education, Policy, Organisation and the Knowledge Economy) at Copenhagen on 17 Feb 2011 attended by 6 people (see programme in work package 2 attached)..
- **3-Day Research Seminar** 'Methodologies: Combining Ethnography and Political Economy' held on 8-10 June 2011 at AU, Copenhagen, attended by 23 participants: 4 from UK, 4 from NZ, 15 from DK (see Work Package 2 document attached).
- **Lecture** by Ole Henckel 'Is free education under attack from the EU? The case of Scandinavia' given at UoA during his secondment (see power point slides in work package 3 document attached).
- **2-Day Symposium:** 'Globalisation, Higher Education and the Knowledge Economy: Refiguring Subjects and Spaces in the Neoliberal University' held on 1-2 December 2011 at UoA, attended by 25 participants (see programme and attendance lists in Work Package 3 document attached).
- **Worldwide Universities Network:** UoA and UNIVBRIS are members and 8 members of URGE (Nick Lewis, Cris Shore and Elizabeth Rata of UoA and Roger Dale, Susan Robertson Lisa Lucas, Thomas Muhr and Susana Meloof UNIVBRIS participated in the WUN Conference 'Globalising Geographies of higher education and research' at Bristol, 1-3 Feb 2012. This is a means by which the URGE network is both consolidated and the results of URGE's work are disseminated.
<http://www.bristol.ac.uk/wun/events/2012/ggher.html>
- **Parliament Magazine:** Special issue of the EU's Parliament Magazine to celebrate the Danish Presidency included a description of the URGE project, results and future work (Issue 340, 19 Dec 2011).

Project Management

- **General daily management:** Wright has conducted the daily management and has been well supported by AU's research office in producing the CA and PA, in financial management and in negotiating with REA (especially during long delays over issuing the contract, finally received on 23 Oct 2010). Wright's role as overall coordinator of the URGE programme has included document production for circulation to all participants:
 - A 'Concept Note' on the project was circulated to all participants on 1 March 2010.
 - An 'Authorship Protocol' establishing ways of acknowledging informal as well as formal knowledge exchange. This was discussed and improved at the Annual Assembly and then circulated to all participants on 5 December 2010. (See management document attached).
 - 'URGE Bulletins' were circulated to all participants by email on 17 Nov 2010 and September 2011 (See management document attached).
- **Project coordination team:** Wright, Robertson and Shore have functioned well as a coordination team. There have been three phases of meetings:
 1. In the set-up phase, an intense series of meetings were held to set up the project, develop the format for work packages, workshops and working papers, arrange visits and establish procedures for recording activities, financial management and publication protocol (by Skype on 21 Jan, 24 Feb, 29 April, 13 Sept 2010, and face-to-face meetings on 6 July (in London), 26 Aug (at AU), 7 Nov 2010 (at AU)).
 2. To go over the detailed plans for each work package and each workshop programme, the project manager and each work package coordinator have communicated frequently by Skype and email. Face to face meetings between the whole project coordination team have been held during each of

the workshops (Bristol, 3 Dec 2010, Copenhagen 8 June) and by Skype 5 Dec 2011).

3. Following the mid-term review and its identification of projects for long term collaboration between the partners, the project coordination team has also worked intensively by email and Skype on the preparation of the ITN application (Nov 2011-Jan 2012) and on ideas for the Erasmus Mundus (Sept-Oct 2011).
- **Coordination of each work package:** Robertson coordinated WP1, Shore is coordinating WP2, Dale is coordinating WP3 and Wright is coordinating WP4. A format for producing the 'detailed plan' has been agreed. Coordination between the participants in each WP is working well. Most participants were able to meet at Bristol in Dec 2010 and the coordinators of WP2 and WP3 each held a research workshop to scope and plan their WP. The successful format for the final conference for WP1 is being copied by the other WPs.
 - **Annual Assembly:** The first Annual Assembly was held during the final workshop of WP1. This reviewed the work and organisation of the programme. The meeting reviewed documentation and communication. The second Annual Assembly was held during the final workshop of WP2 and reviewed progress, received comments and suggestions, and concentrated on identifying projects and funding sources for consolidating future collaboration between the partners (see notes of Annual Assembly in Management document attached).
 - **Virtual Communication:** Email lists for the three teams (AU, UNIVBRIS, UoA) have been established and updated. This is proving an effective means of communication. Skype is used for meetings of the project coordination team, see above. Virtual conferencing has been tried successfully and enabled Ole Henckel (AU) to deliver his paper (at midnight) to the final workshop of WP3 held at UoA.
 - **Website:** An URGE website is hosted by AU. This website contains details and updates of the programme of work packages, the working papers, conference programmes and power point presentations, protocols for collaboration and records of meetings.
 - **Management of secondments:** There is a specified host for each visitor, and they have taken their responsibilities very seriously. With the help of relevant university services, they have assisted with finding accommodation, organised office space and working facilities, organised knowledge exchange and research training activities, invited the visitor home and to social activities and ensured that they feel welcome and integrated into the department and the university. In particular UoA gave tremendous support to a secondee from AU and her family when she was hospitalised soon after her arrival, diagnosed with an extremely serious illness and had to return urgently to Denmark.

Additional Information

In addition to the planned secondments and activities, the programme has been a catalyst that has generated considerable interest from researchers and practitioners in other universities, some of whom are visiting and contributing to URGE events. During their visits, the original participants are also contributing to many more knowledge exchange activities than originally envisaged. For example:

- An URGE Reading Group has been established at Auckland University with participants from 3 faculties and a regular programme of meetings (See attached programme)
- Professor Brigitte Bonisch-Brednich, University of Victoria, Wellington NZ, who researches academic mobility, visited DPU, AU for the month of September 2010 (funded by her university). She gave two papers, decided on

Denmark as a case study, conducted 15 interviews, and plans to return in June 2012 for two weeks fieldwork and involvement in URGE activities.

- Professor Alfredo Gomes, University of Pernambuco, Recife, Brazil attended the Work Package 1 workshop at Bristol and wishes to continue his involvement with URGE.
- Dr Akihiro Ogawa, Assistant Professor, Department of Education, Stockholm University who works on university reform in Scandinavia and Japan, is attending URGE activities at AU and engaging in knowledge exchange.
- A proposed research project 'Education for Innovation' from AU includes a funding application for a PhD student to be seconded to the Anthropology Department (UoA) as a direct result of links made through URGE.
- Dr Niels Kryger, funded by AU, visited UoA for 2 months as a result of contacts made through URGE. He was hosted by the Department of Anthropology and the School of Critical Studies of Education. He delivered two seminar papers at different campuses of the University of Auckland and gained considerable knowledge about his specialties – inter-cultural relations and relations between home and educational institutions.
- Deivida Vandzinskaite, Siauliai university, Lithuania has visited AU twice on staff exchange agreements to work with members of URGE (Susan Wright, AU, Susan Robertson UNIVBRIS, Cris Shore and Nick Lewis, UoA) on developing a comparative post doc project on academic entrepreneurialism and the Third Mission of the university.